

Publishing Best Practices Webinar Series:

BEING AN AUTHOR: WHAT AUTHORSHIP ENTAILS

Presented by the Local Capacity Development Crosscutting Theme
20 October 2023

Feed the Future Innovation Lab for Livestock Systems

Presented in collaboration with the University of Florida Libraries

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University of Florida



Outline

Authorship of scholarly journal articles

- **ICMJE authorship criteria**
- **CRediT roles**
- **Inappropriate assignment**
- **Disputes**
- **Issues**
 - **Artificial intelligence**
 - **Authorship for sale**
 - **Institutions paying to be listed as affiliation**
 - **False claims on CVs**



Image by [Kev](#) from [Pixabay](#)

Authorship – Singapore Statement 2010

Take responsibility for contributions to publications and acknowledge those who made significant contributions

- All those meeting authorship criteria should be co-authors
- Those not meeting authorship criteria should not be included
- Those making significant contributions, but not meeting authorship criteria, should be acknowledged

6. Authorship: Researchers should take responsibility for their contributions to all publications, funding applications, reports and other representations of their research. Lists of authors should include all those and only those who meet applicable authorship criteria.

7. Publication Acknowledgement: Researchers should acknowledge in publications the names and roles of those who made significant contributions to the research, including writers, funders, sponsors, and others, but do not meet authorship criteria.

Authorship – ICMJE

Four criteria

- 1) **Substantial contributions to the conception or design of the work; *OR* the acquisition, analysis, or interpretation of data for the work**
- 2) **Drafting the work or revising it critically for intellectual content**
- 3) **Have final approval of the version to be published**
- 4) **Agree to be accountable for all aspects of the work you did**

Authors need to meet all 4 criteria and all those meeting the criteria should be identified as authors

Best Practices in Scholarly Publishing – Example

PUBLICATION ETHICS



A journal should have policies on publishing ethics. These should be clearly visible on its website, and should refer to:

- journal policies on authorship and contributorship
- how the journal will handle complaints and appeals
- journal policies on conflicts of interest/competing interests
- journal policies on data sharing and reproducibility
- journal's policy on ethical oversight
- journal's policy on intellectual property
- journal's options for post-publication discussions and corrections.

Policy on authorship and contributorship

Author contributions

For transparency, we require corresponding authors to provide co-author contributions to the manuscript using the relevant CRediT roles. The CRediT taxonomy ⁷ includes 14 different roles describing each contributor's specific contribution to the scholarly output. The roles are: Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Roles/Writing - original draft; and Writing - review & editing. Note that not all roles may apply to every manuscript, and authors may have contributed through multiple roles. [More details and an example.](#)

Image from <https://www.elsevier.com/journals/livestock-science/1871-1413/guide-for-authors> retrieved 2023-09-23

Image from

https://publicationethics.org/files/COPE_Principles_of_Transparency_Poster_0.pdf retrieved 2023-08-22

Authorship – CRediT

Contributor Roles Taxonomy (CRediT)

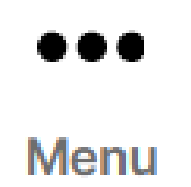
- **Used to indicate the specific contribution(s) of each individual**
- Intended to capture all work that goes into scholarly publication
 - Not limited to traditional authorship roles
- **14 roles**
- Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Writing – original draft; Writing – review and editing

Authorship – CRediT



Contributor Roles Taxonomy

[Home](#) [Implementing CRediT](#) [News](#) [Get Involved](#)



Contributor Roles Defined

Conceptualization – Ideas; formulation or evolution of overarching research goals and aims.

Data curation – Management activities to annotate (produce metadata), scrub data and maintain research data (including software code, where it is necessary for interpreting the data itself) for initial use and later re-use.

Image from
<https://credit.niso.org/contributor-roles-defined/>
retrieved 2023-10-10

Authorship – CRediT

Contributor Roles Taxonomy (CRediT)

Formal analysis – Application of statistical, mathematical, computational, or other formal techniques to analyze or synthesize study data.

Funding acquisition - Acquisition of the financial support for the project leading to this publication.

Investigation – Conducting a research and investigation process, specifically performing the experiments, or data/evidence collection.

Methodology – Development or design of methodology; creation of models.

Project administration – Management and coordination responsibility for the research activity planning and execution.

Resources – Provision of study materials, reagents, materials, patients, laboratory samples, animals, instrumentation, computing resources, or other analysis tools.

Software – Programming, software development; designing computer programs; implementation of the computer code and supporting algorithms; testing of existing code components.

Image from
<https://credit.niso.org/contributor-roles-defined/>
retrieved 2023-10-10

Authorship – CRediT

Contributor Roles Taxonomy (CRediT)

Supervision – Oversight and leadership responsibility for the research activity planning and execution, including mentorship external to the core team.

Validation – Verification, whether as a part of the activity or separate, of the overall replication/reproducibility of results/experiments and other research outputs.

Visualization – Preparation, creation and/or presentation of the published work, specifically visualization/data presentation.

Writing – original draft – Preparation, creation and/or presentation of the published work, specifically writing the initial draft (including substantive translation).

Writing – review & editing – Preparation, creation and/or presentation of the published work by those from the original research group, specifically critical review, commentary or revision – including pre- or post-publication stages.

Image from
<https://credit.niso.org/contributor-roles-defined/>
retrieved 2023-10-10

Authorship – CRediT

Contributor Roles Taxonomy (CRediT)

- **List all contributions**
- **By authors as well as those named in acknowledgments**
- **To meet ICMJE authorship criterion #2, one of the Writing roles must be met**
 - **List of journals stating they follow ICMJE: <https://www.icmje.org/journals-following-the-icmje-recommendations/>**
- **Individuals can have multiple roles**
- **Contributions can be assigned to multiple individuals**
- **Role assignment**
 - **Corresponding author is responsible**
 - **Contributors review and confirm**

Authorship – CRediT Example

Contributor Roles Taxonomy (CRediT)

- **Livestock Science example statement**
- **Anonymized**

CRediT authorship contribution statement

Author 1 : Writing – original draft, Formal analysis, Investigation, Methodology. **Author**

2 : Writing – review & editing, Supervision, Conceptualization. **Author 3** :

Writing – review & editing, Conceptualization, Supervision, Funding acquisition, Project administration.

Authorship – Shades of gray

NIH general guidelines
















General Guidelines for Authorship Contributions		
Contributions	Authorship? (■ yes; ■ no)	Comments
Design & Interpretation of results	original idea, planning & input	 An idea alone may not warrant authorship, unless highly original & unique
	other intellectual contribution	 Yes, but assuming active involvement
Supervisory role	supervision of the project	 Yes, but assuming active involvement
	training, education	 No
	mentoring of 1st author	 No, unless substantive contribution made to study
Administrative & technical support	resources: \$	 Acknowledgements yes, authorship no
	resources: animals, reagents	 No if already published; yes if novel
	resources: patients	 Maybe, depending on circumstances
Data acquisition	original experimental work	 Yes
	technical experimental work	 No if routine; yes if novel methods added, or specific role, e.g., statistics, imaging etc.
	data analysis (assays)	 Yes, unless only very basic
	data analysis (statistics)	 Yes, unless only very basic (t-tests e.g.)
Writing & other	drafting of manuscript	 Warrants first authorship
	reading/ commenting on manuscript	 Substantial feedback can be acknowledged
	none	 Includes honorary authorship for lab chiefs, celebrities etc.

Image from <https://oir.nih.gov/system/files/media/file/2021-08/guidelines-authorship-contributions.pdf> retrieved 2023-08-18

Authorship – Inappropriate assignment

Gift authorship

- **No significant contribution**
- Named as an author as a quid pro quo

Honorary authorship

- **No significant contribution**
- Named as an author due to senior position

Guest authorship

- **No significant contribution**
- Named to improve chance of being published and/or article impact

Ghost authors

- **Professional writers who are not acknowledged**
- Potential conflict of interest

Authorship Disputes

Avoid disputes by discussing articles to be written and author contributions early in the research planning process

- **ICMJE authorship criteria and CRediT can be used to facilitate discussion**

Handling authorship disputes

- **COPE has guidance for new researchers**
- **Discuss authorship in advance, agree in writing, revisit through research stages**
- **Use facts and guidelines to negotiate dispassionately**
- **Check the journal's instructions for authors**

How to handle authorship disputes: a guide for new researchers

DOI: <https://doi.org/10.24318/cope.2018.1.1>

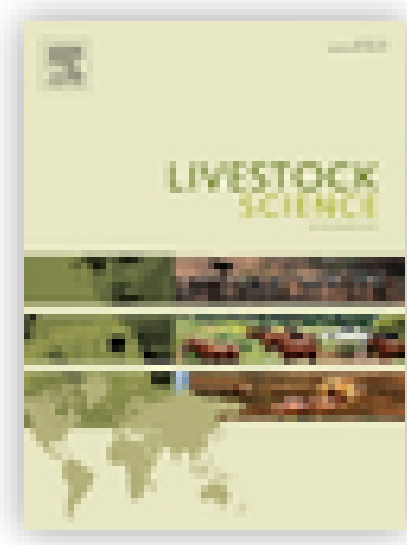
Guidance for new researchers on good authorship practice, and advice on how to deal with authorship issues as they arise.



Document

[How to handle authorship disputes: a guide for new researchers](#) PDF 64 KB

Authorship Disputes



Browse Journals > Livestock Science > > Guide for authors

Guide for Authors

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INTRODUCTION

- Types of article
- Contact details for submission
- Submission checklist

BEFORE YOU BEGIN

- Ethics in publishing
- Studies in humans and animals
- Declaration of Interest
- Declaration of generative AI in scientific writing
- Submission declaration and verification
- Preprint posting on SSRN
- Use of Inclusive language
- Reporting sex- and gender-based analyses

• [Author contributions](#)

• [Changes to authorship](#)

- Copyright
- Role of the funding source

• Open access

• Submission

PREPARATION

- Queries
- Peer review
- The appeal process for peer review
- Article structure
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- Highlights

• Abstract

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• Video

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• Supplementary material

• Research data

AFTER ACCEPTANCE

- Online proof correction
- Offprints

AUTHOR INQUIRIES

Authorship Disputes

Note that changes to authorship need to be made *before* the manuscript has been accepted for publication

- **Even then, the request needs approval from the Editor**

Changes to authorship

Authors are expected to consider carefully the list and order of authors **before** submitting their manuscript and provide the definitive list of authors at the time of the original submission. Any addition, deletion or rearrangement of author names in the authorship list should be made only **before** the manuscript has been accepted and only if approved by the journal Editor. To request such a change, the Editor must receive the following from the **corresponding author**: (a) the reason for the change in author list and (b) written confirmation (e-mail, letter) from all authors that they agree with the addition, removal or rearrangement. In the case of addition or removal of authors, this includes confirmation from the author being added or removed.

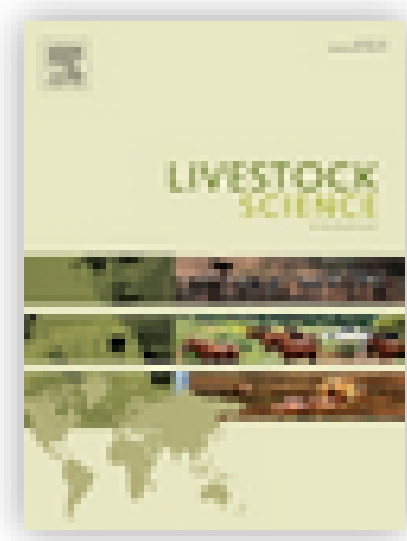
Only in exceptional circumstances will the Editor consider the addition, deletion or rearrangement of authors **after** the manuscript has been accepted. While the Editor considers the request, publication of the manuscript will be suspended. If the manuscript has already been published in an online issue, any requests approved by the Editor will result in a corrigendum.

Authorship – New and evolving issues

Artificial Intelligence (AI)

- **ICMJE role of journals**
- Require disclosure of use of any AI-assisted technologies
- **ICMJE role of authors**
- Describe how AI was used
- Are responsible for accuracy, integrity, and originality of the work
- Therefore, chatbots cannot be authors
- Need to carefully review any AI generated content
 - Ensure accuracy
 - Ensure there is no plagiarism; All quoted material is cited

Authorship – New and evolving issues



Browse Journals > Livestock Science > > Guide for authors

Guide for Authors

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• Declaration of generative AI in scientific writing

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- Use of Inclusive language
- Reporting sex- and gender-based analyses

- Author contributions
- Changes to authorship
- Copyright
- Role of the funding source

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- The appeal process for peer review
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- Research data

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AUTHOR INQUIRIES

Authorship – New and evolving issues

Artificial Intelligence (AI)

- **Publisher's policy on generative AI and AI-assisted technologies in the writing process**
- **Should only be used to improve readability and language**
- **Authors are responsible and accountable for the contents**
- **AI sometimes generates inaccurate output**
 - **Authors should carefully review and edit the result**
- **Disclose use of AI**
- **Do not list AI as an author**
- **Authorship involves responsibilities that can only be attributed to humans**
 - **Ensure questions regarding accuracy and integrity of work are investigated and resolved**
 - **Approve final version of the work and agree to submission**
 - **Ensure work is original and does not infringe third party rights**

Authorship - Issues

Inappropriate use of AI

- **Example of journal article**
- **Authorship issue**
- **Peer review issue**
- **Editorial issue**

Recent studies on future prospects for sustainable agricultural development.

As an AI language model, I don't have real-time access to current studies or research papers. However, I can provide you with a general overview of some areas of research and emerging trends related to the future prospects for sustainable agricultural development. It's important to note that the following information is based on the knowledge available up until my last training data in September 2021, and there may have been advancements and new studies published since then. To get the most up-to-date information, I recommend referring to scientific databases,

Authorship - Issues

Inappropriate use of AI

- **Example of journal article**
- **Authorship issue**
- **Peer review issue**
- **Editorial issue**

Guide for Authors
in full

Publication Ethics
in full



International Journal of Modern Agriculture and Environment

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Publication Ethics

Publication Ethics Policy: The Journal follows clear ethical standards for publication to ensure high quality scientific work and to enhance public trust in their findings. Thus, the Journal apply this policy to all its owned journals in adherence to the Best Practice Guidelines. This policy should be read with our guidelines for authors and reviewers.

The Journal go through a robust process to detect and prevent misconduct practices. Well-known and specialized experts are selected to run this process, which at end leads to reliable and accurate manuscripts with informative findings and valuable applications. The Journal is highly strict in handling violation of these professional guidelines. All submitted manuscripts must be original and not in a process of consideration elsewhere (for example in other journals or conference proceedings).

1. Editorial Standards and Processes

Each Italian Egyptian Journals adopt well defined standards of authorship disclosed in the section of "For Authors". Authors and co-authors are required to meet these standard and confirm that all who met these standards are still listed under the list of authors.

1.1. Authorship: Published work must be attributed to one or more authors who are complied with authorship standards. A description of each author contribution should be summarized in a statement titled "Authors Contributions"

1.2. Fund and Support: Authors are requested to disclose any received fund or support from individual or organization in the "Acknowledgment" section.



International Journal of Modern Agriculture and Environment

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Guide for Authors

The Journal dedicated to rapid publication of the highest quality short papers, regular papers, and expository papers. Papers which exceed the above page limit will be accepted subject to the approval of the Editorial Board.

Submission of Manuscripts:

Correspondence by e-mail is requested for convenience and speed. Authors may submit manuscripts in English to the Editorial Board. A Postscript or PDF file with a cover letter must be sent to the corresponding editor by e-mail. The cover letter should give the authors preferred address, e-mail address, phone number and fax number, and should indicate the intended publication format (Short paper, Regular paper or Expository paper). Each submission should include an informative abstract of no more than 250 words.

Guidelines for Manuscript Preparation

Manuscript Style, Illustrations and Photos

Accepted manuscripts must be written in LaTeX format, not exceeding 25 pages. Authors are responsible for converting their manuscripts to LaTeX format, if not initially in LaTeX format. All figures should be numbered with Arabic numerals (1,2,3,...). Every figure should have a caption. All photographs, schemas, graphs and diagrams are to be referred to as figures. Line drawings should be good quality scans or true electronic output. Low-quality scans are not acceptable. Figures must be embedded into the text and supplied separately. In MS word input the figures must be properly coded. Lettering and symbols should be clearly defined either in the caption or in a legend provided as part of the figure. Figures should be placed at the top or bottom of a page wherever possible, as close as possible to the first reference to them in the paper.

The figure number and caption should be typed below the illustration in 10 pt. and centred justified; Artwork has no text along the side of it in the main body of the text. However, if two images fit next to each other, these may be placed next to each other to save space.

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The journal publishes original papers, which should comprise previously unpublished data, interpretation or syntheses concerning all aspects of Art and technology. The editors will not consider manuscripts, which are under consideration by other publishers. It is assumed that once you have submitted an article to this journal, it will not be sent to other publishers until a decision about inclusion has been made. By submitting your article to IJMSACH, you agree that any articles published by IJMSACH will be Open Access articles distributed.

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Accepted manuscripts must be written in LaTeX format, not exceeding 20 pages. Authors are responsible for converting their manuscripts to LaTeX format, if not initially in LaTeX format. Figures should be in EPS (Post Script Format).

It is the authors responsibility to prepare papers as per the formatting instructions of the publisher. Accepted papers may not be published unless they meet the publisher's formatting standard. Here you can view a [sample file](#) in Acrobat pdf format. You can download a sample file named amis-sample.zip. After downloading, unzip it and keep all the files in the same folder for it to work.

By submitting a manuscript for publication to Applied Mathematics & Information Sciences, authors acknowledge that the work is original and is not being submitted to another journal.

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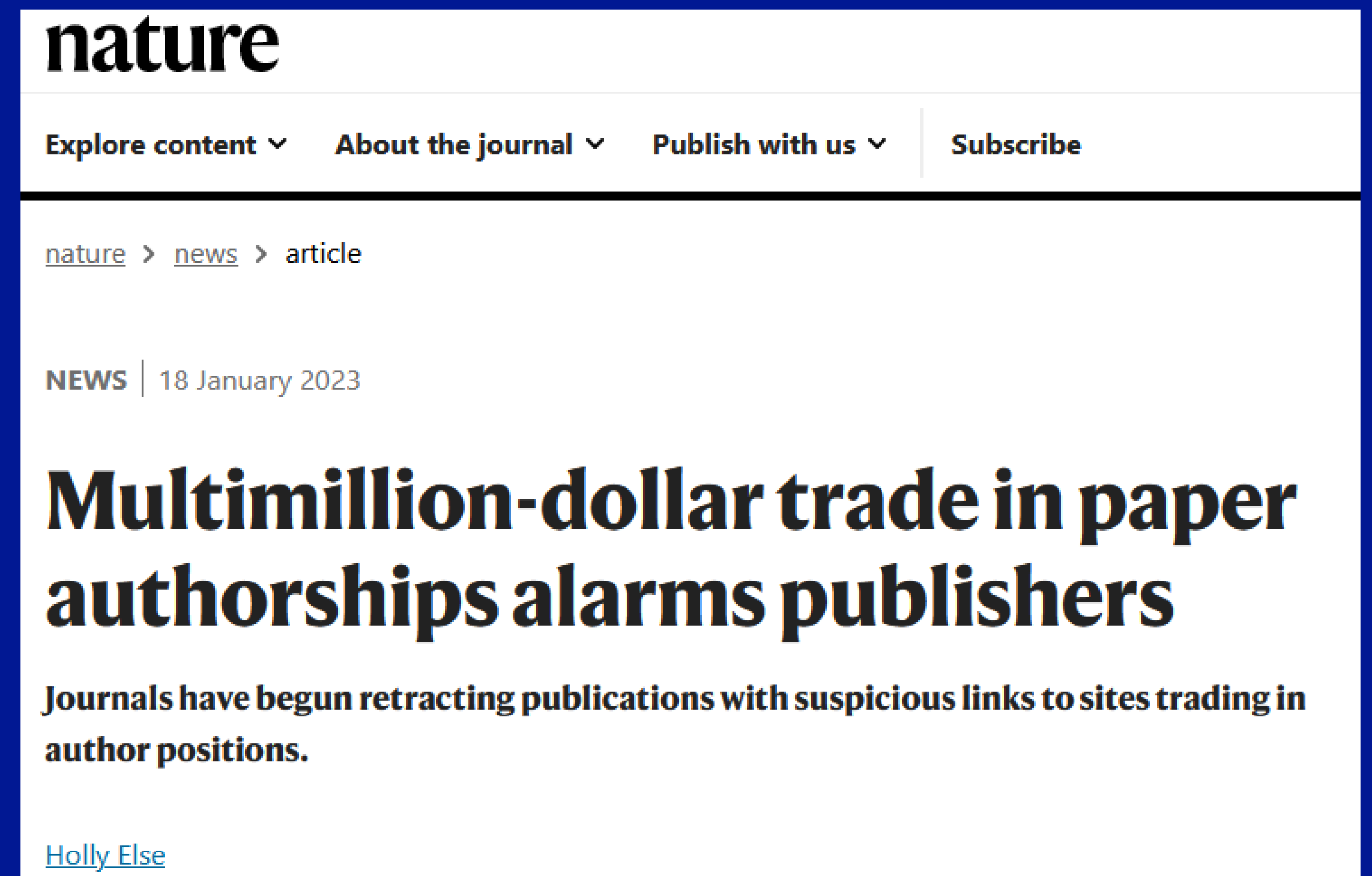
Authors are requested to make a payment toward the cost of disseminating their research results. Waivers may be available for certain authors, for example, from low GDP countries or those who, for other reasons, do not have access to funds to cover the fee. Authors can request be free or a waiver on acceptance of their article. The ability to pay does not influence whether or not a manuscript is accepted for publication.

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Authorship - Issues

Authorship for sale

- **Paper mills create fake research and sell authorship on articles to be published in legitimate journals to researchers wanting to add a publication to their CV**
- **Business may claim to offer editorial services, translation, or other academic publishing services and advertise on company website and/or social media**
- **Online advertisements often include article title, journal, year to be published, author positions available for purchase**



Nature **613**, 617-618 (2023). doi: <https://doi.org/10.1038/d41586-023-00062-9>

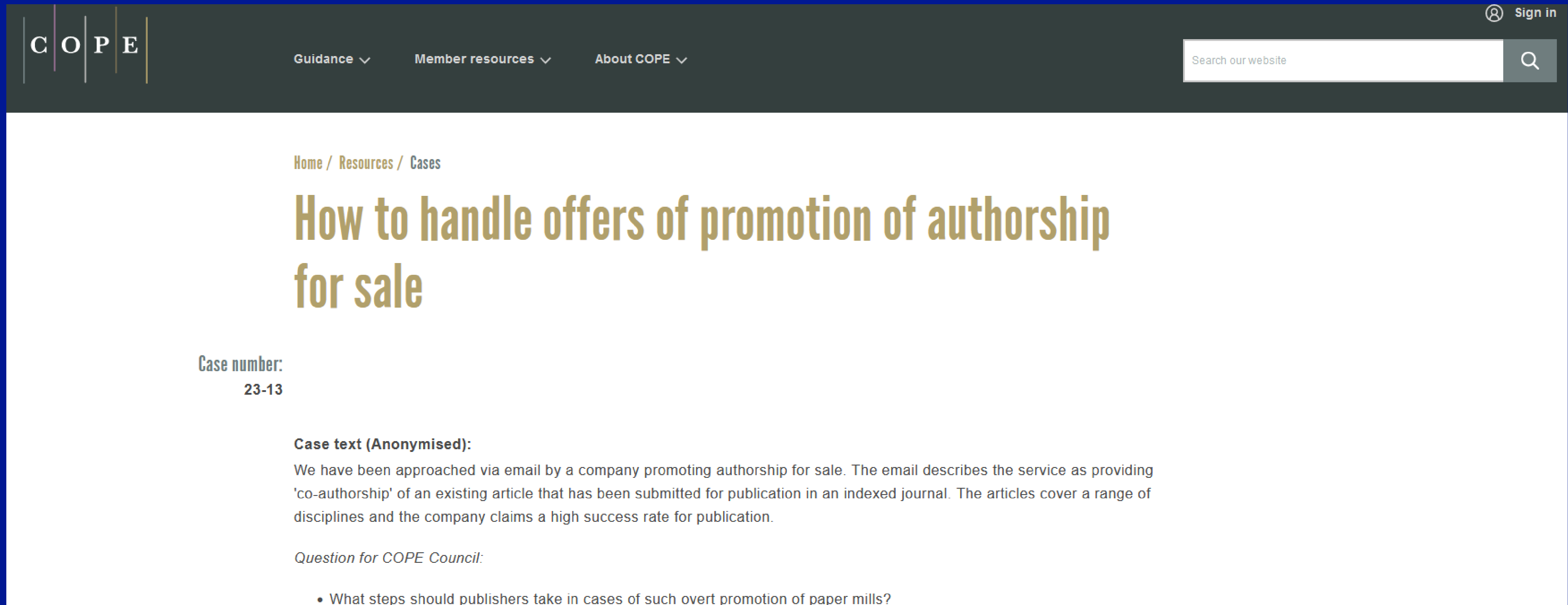
Image from <https://www.nature.com/articles/d41586-023-00062-9>

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Authorship - Issues

Authorship for sale

- **Case from COPE**



The screenshot shows the COPE website interface. At the top left is the COPE logo. To its right are navigation links: 'Guidance', 'Member resources', and 'About COPE'. On the far right is a 'Sign in' button. Below the navigation is a search bar with the placeholder text 'Search our website'. The main content area has a breadcrumb trail 'Home / Resources / Cases' and a large title 'How to handle offers of promotion of authorship for sale'. Below the title, the case number '23-13' is displayed. The case text, labeled 'Case text (Anonymised):', describes an email offer for 'co-authorship' of an article. Below this is the 'Question for COPE Council:' section, which contains a bullet point asking for steps publishers should take in such cases.

Home / Resources / Cases

How to handle offers of promotion of authorship for sale

Case number:
23-13

Case text (Anonymised):

We have been approached via email by a company promoting authorship for sale. The email describes the service as providing 'co-authorship' of an existing article that has been submitted for publication in an indexed journal. The articles cover a range of disciplines and the company claims a high success rate for publication.

Question for COPE Council:

- What steps should publishers take in cases of such overt promotion of paper mills?

Authorship - Issues

Authorship for sale

- **Case from COPE**

Advice:

Advice on this case is from a small number of COPE Council Members. Most cases on the COPE website are presented to the [COPE Forum](#) where advice is offered by a wider group of COPE Members and COPE Council Members. Advice on individual cases is not formal COPE guidance.

Council noted that approaches like this are increasingly common, either targeted to the editorial office, or directed at editors in their capacity as researchers. Two courses of action were proposed. First, that journals follow COPE's advice on [paper mills](#) (and [supplementary advice here, where concerns are raised at scale](#)) to deal with suspected cases. Second, that they collect examples of direct approaches from these organisations and share them centrally, for example, [via the STM Integrity Hub](#). Titles of specific articles being offered for sale could also be extracted from the organisations' websites and cross-checked for duplicate submission using tools like those being developed by STM. This would provide evidence which could lead to an industry response.

More broadly, the most constructive approach that editors can take is to promote awareness of these services and their role in publication fraud. It seems unlikely that action against individual services will be of much utility, even if it were possible, as more will surface rapidly. Young researchers in particular are often unaware of the status and intentions of these services, and may feel pressure to use them in order to publish. Editors could thus consider writing editorials about paper mills and other similar services to raise awareness, and including general warnings in their instructions for authors.

Authorship - Issues

Authorship for sale

- **Publisher response example**
- **Frontiers new policy on addition of authors**
- **Only approving authorship changes under exceptional circumstances and after additional scrutiny by their research integrity unit**
- **Has resulted in article retractions**



The screenshot shows the Frontiers Science News website. At the top right, there are navigation links: FRONTIERS HOME, ABOUT, SUBMIT, JOURNALS, RESEARCH TOPICS, CONTACT. The main navigation bar includes: HOME, LIFE SCIENCES, HEALTH, NEUROSCIENCE, PSYCHOLOGY, ENVIRONMENT, ROBOTICS AND AI, ENGINEERING, HUMANITIES, SUSTAINABILITY, CLIMATE ACTION, OPEN SCIENCE POLICY, FRONTIERS ANNOUNCEMENTS. The article title is "Frontiers implements new policy to counter 'Authorship-for-sale'". Below the title, it says "Posted on September 4, 2023 by Frontiers Science Communications in Frontiers Announcements // 0 Comments". The article text begins: "Frontiers has today published a new author policy designed to help prevent cases of 'authorship-for-sale' among its research publications, a term used throughout the industry which refers to the unethical practice of buying or selling authorship on research papers." A quote from Dr. Frederick Fenter, chief executive editor of Frontiers, follows: "We recognize that there are legitimate reasons for the request of authorship changes after submission. However, to create real impact in reducing authorship-for-sale schemes, publishers must depend on responsible collaboration from our authors to ensure that their author lists are correct at the point of submission," says Dr. Frederick Fenter, chief executive editor of Frontiers. The article concludes: "Under the new policy, requests for authorship changes will only be granted under exceptional circumstances and after in-depth assessment by the Frontiers' research integrity unit. A ledger of requests will be maintained to identify suspicious patterns and trends among these types of requests. As of today, Frontiers has identified and retracted 38 research papers linked to the practice." On the right side of the page, there is a search bar with the placeholder text "To search, type and hit enter". Below the search bar is a "Popular posts" section with three items: "Selecting for impact: new data debunks old beliefs", "Frontiers tops open-access journal ranking in several JCR categories", and "Frontiers Impact Factors rise as volume increases: quality at scale".

Image from <https://blog.frontiersin.org/2023/09/04/frontiers-implements-new-policy-to-counter-authorship-for-sale/> retrieved 2023-10-10

Authorship - Issues

False claims in CV and bio sketch

- **Anonymized case**

- **Publications did not exist**

- **In grant applications, can result in loss of funding**

- **In job applications, can result in loss of position**

An NIH program official received a formal letter from the Chancellor of a prestigious health sciences center. Specifically, the letter stated that the university found that Dr. Edison, a Department Chair, had made the following false claims in his Curriculum Vitae for his job application and his biosketch in NIH grant applications:

- authorship of numerous publications,
- membership in a prestigious scientific professional society, and
- having earned the Ph.D. degree from a prestigious foreign institution.

The NIH program official notified an NIH Research Integrity Officer, who in turn contacted the DHHS Office of Research Integrity (ORI). ORI also knew about the allegations but was unable to pursue them because falsified credentials are not defined as research misconduct. As per 42 C.F.R. Part 93.103, research misconduct means fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results. However, ORI found evidence of ~ 30 fake publications – publications that did not exist – in Dr. Edison's resume.

But the story doesn't end here. News media reported that Dr. Edison had resigned his position at the university for a position at another. And shortly thereafter, Dr. Edison left the second university after news reporters alerted that university to his background.

Recent studies suggest that "embellishing" credentials in job applications is widespread, and we have reason to believe this extends to biosketches in NIH grant applications as well. Although ORI may not pursue allegations of falsified credentials as possible research misconduct, the NIH may pursue such allegations in NIH grant applications as possible, deliberate attempts to violate peer review integrity.

If the NIH determines that credentials in an NIH grant application are false, the NIH may take any number of actions, including removing the scientist from NIH peer review service, contacting the scientist's institution, withdrawing the scientist's NIH grant applications or discontinuing his/her funding, and/or referring the matter to the NIH Office of Management Assessment for possible referral to the Office of the Inspector General for consideration for suspension and debarment.

In the end, Dr. Edison's grants were discontinued, totaling over \$400,000 a year, and his applications were withdrawn. Dr. Edison's current whereabouts are unknown.

Authorship - Issues

False authorship claims in CV

- **Possible reasons**
 - **Honest mistakes**
 - H1: Miscommunication between authors re: author order
 - H2: Misunderstanding publication terms (e.g., submitted, under review, accepted)
 - H3: Poor understanding of terms along with delays in completing revisions
 - **Deliberate actions**
 - H1: Tell “little lies” that are not true at the time, but hope will be true later
 - H2: Tell “big lies” to compete with others in the job market
 - H3: “some scientists are psychopaths”

Authorship - Issues

False authorship claims in CV

- **Some suggestions to avoid honest mistakes**
 - Check the actual published version for author order
 - Learn the publication status terms and use appropriately
 - Report actual *current* status, do not make assumptions
 - Check manuscript submission system and/or emails from editor

Authorship - Issues

Avoiding honest mistakes in authorship claims in CV

- **Publication status terms**
 - **Published:** Formatted article published in journal, has complete citation including volume, pages, etc.
 - **Accepted / In press / Forthcoming:** Once article is formally accepted for publication, no additional revisions required; Currently going through production process, may have a date the final article will appear
 - **Under review:** From the notification the article went out for review, though the revision process
 - **Submitted:** An article you submitted to a journal but hasn't been sent out for review yet
 - **In progress:** An article you are working on

Authorship - Issues

Avoiding honest mistakes in authorship claims in CV

- Can check manuscript submission system for current status

ScholarOne Manuscripts™ Terry Selfe ▾ Instructions & Forms Help Log Out

The Journal of **Alternative and Complementary Medicine**

Home Author Review

Author Dashboard

Author Dashboard

- 1 Submitted Manuscripts >
- Start New Submission >
- Legacy Instructions >
- 5 Most Recent E-mails >

Submitted Manuscripts

STATUS	ID	TITLE	CREATED	SUBMITTED
Under Review	JACM-2021-0182	An exploratory randomized controlled trial of a 12-week yoga vs. educational film program for the management of restless legs syndrome (RLS): Feasibility and acceptability View Submission	12-May-2021	12-May-2021

Contact Journal

Under review

Authorship - Issues

Avoiding honest mistakes in authorship claims in CV

- Can check email communications for status by date

12-May-2021

May 12 email

Dear Dr. Selfe:

Your manuscript entitled "An exploratory randomized controlled trial of a 12-week yoga vs. educational film program for the management of restless legs syndrome (RLS): Feasibility and acceptability" has been successfully submitted online and is presently being given full consideration for publication in Journal of Alternative and Complementary Medicine.

Your manuscript ID is JACM-2021-0182.

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Thank you for submitting your manuscript to Journal of Alternative and Complementary Medicine.

Sincerely,
Journal of Alternative and Complementary Medicine Editorial Office

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12-May-2021

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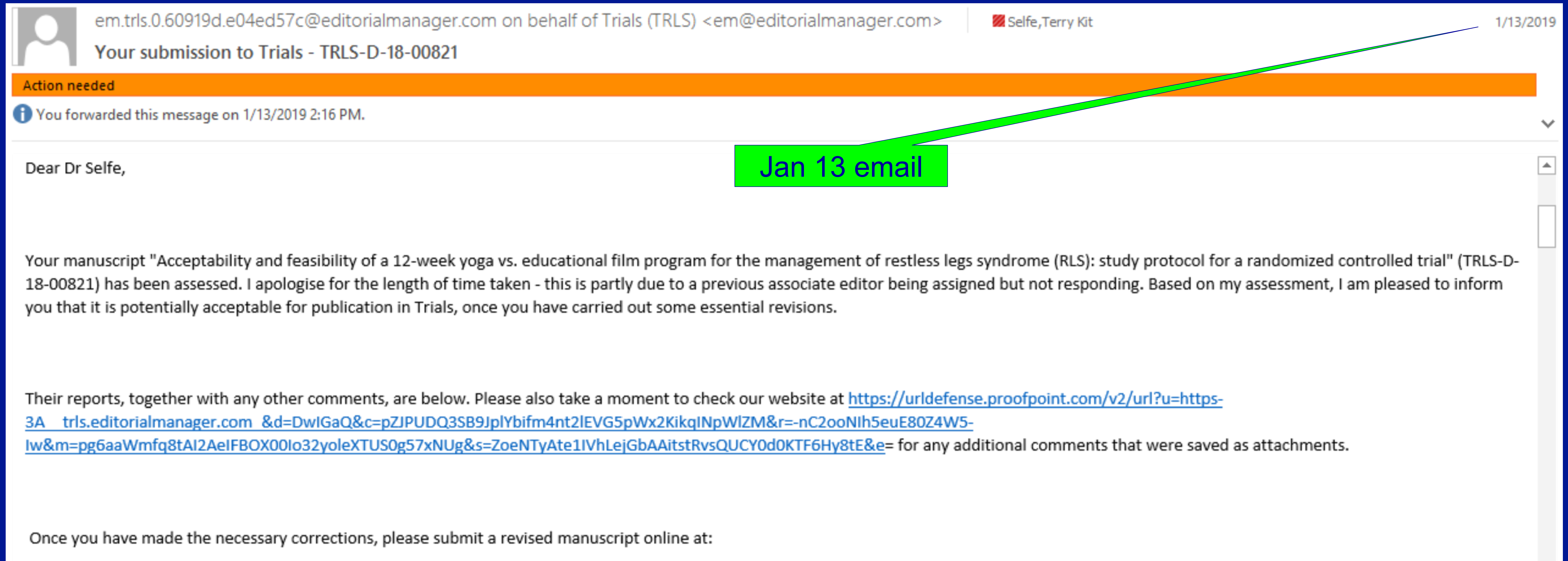
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The screenshot shows an email interface with the following elements:

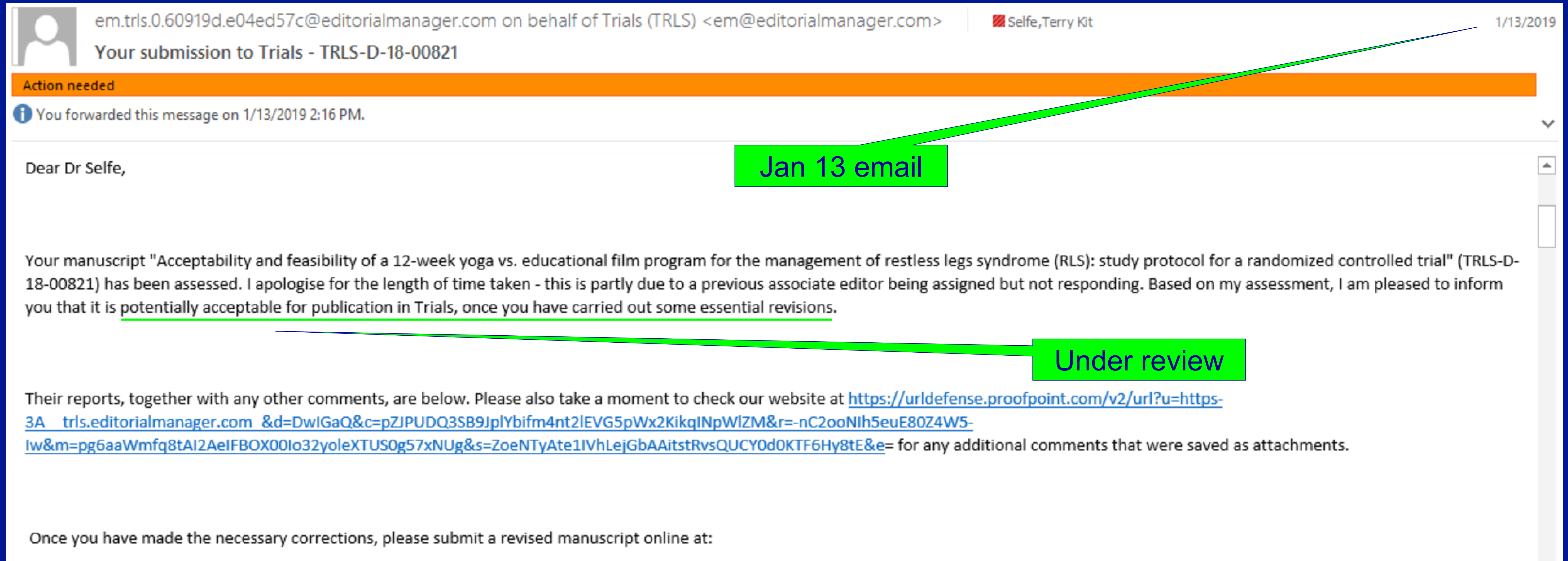
- Header:** Sender: em.trls.0.60919d.e04ed57c@editorialmanager.com on behalf of Trials (TRLS) <em@editorialmanager.com>. Recipient: Selfe, Terry Kit. Date: 1/13/2019. Subject: Your submission to Trials - TRLS-D-18-00821.
- Alert Bar:** An orange bar with the text "Action needed".
- Notification:** An information icon followed by the text "You forwarded this message on 1/13/2019 2:16 PM."
- Salutation:** "Dear Dr Selfe,"
- Body Text:** "Your manuscript 'Acceptability and feasibility of a 12-week yoga vs. educational film program for the management of restless legs syndrome (RLS): study protocol for a randomized controlled trial' (TRLS-D-18-00821) has been assessed. I apologise for the length of time taken - this is partly due to a previous associate editor being assigned but not responding. Based on my assessment, I am pleased to inform you that it is potentially acceptable for publication in Trials, once you have carried out some essential revisions."
- Links:** A long URL is provided: https://urldefense.proofpoint.com/v2/url?u=https-3A_trls.editorialmanager.com_&d=DwIGaQ&c=pZJPUDQ3SB9JpLYbifm4nt2IEVG5pWx2KikqINpWIZM&r=-nC2ooNIh5euE80Z4W5-lw&m=pg6aaWmfq8tAI2AeIFBOX00Io32yoleXTUS0g57xNUg&s=ZoeNTyAte1IVhLejGbAAitstRvsQUCY0d0KTF6Hy8tE&e= for any additional comments that were saved as attachments.
- Footer:** "Once you have made the necessary corrections, please submit a revised manuscript online at:"

A red box with the text "Jan 13 email" is overlaid on the salutation, with a red line pointing to the date in the header.

Authorship - Issues

Avoiding honest mistakes in authorship claims in CV

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The screenshot shows an email interface with the following elements:

- Header:** Sender: em.trls.0.60919d.e04ed57c@editorialmanager.com on behalf of Trials (TRLS) <em@editorialmanager.com>. Recipient: Selfe, Terry Kit. Date: 1/13/2019. Subject: Your submission to Trials - TRLS-D-18-00821.
- Alert Bar:** An orange bar with the text "Action needed".
- Info:** A blue icon with the text "You forwarded this message on 1/13/2019 2:16 PM."
- Salutation:** "Dear Dr Selfe,"
- Body Text:** "Your manuscript 'Acceptability and feasibility of a 12-week yoga vs. educational film program for the management of restless legs syndrome (RLS): study protocol for a randomized controlled trial' (TRLS-D-18-00821) has been assessed. I apologise for the length of time taken - this is partly due to a previous associate editor being assigned but not responding. Based on my assessment, I am pleased to inform you that it is potentially acceptable for publication in Trials, once you have carried out some essential revisions."
- Annotations:** A green box labeled "Jan 13 email" points to the date of the email. Another green box labeled "Under review" points to the underlined phrase in the body text.
- Next Paragraph:** "Their reports, together with any other comments, are below. Please also take a moment to check our website at https://urldefense.proofpoint.com/v2/url?u=https-3A_trls.editorialmanager.com_&d=DwIGaQ&c=pZJPUDQ3SB9JpLYbifm4nt2IEVG5pWx2KikqINpWIZM&r=-nC2ooNIh5euE80Z4W5-lw&m=pg6aaWmfq8tAI2AeIFBOX00Io32yoleXTUS0g57xNUg&s=ZoeNTyAte1IVhLejGbAAitstRvsQUCY0d0KTF6Hy8tE&e= for any additional comments that were saved as attachments."
- Footer:** "Once you have made the necessary corrections, please submit a revised manuscript online at:"

Authorship - Issues

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Biomed Central Customer Services <info@biomedcentral.com> | Selfe, Terry Kit 1/21/2019

TRLS-D-18-00821: Next steps for publishing your article – billing information

You forwarded this message on 1/24/2019 11:09 AM.

Suggested Meetings + Get more apps

Dear Terry Selfe,

Congratulations on the acceptance of your article TRLS-D-18-00821 in Trials. Your article has been received by the Production team who will be in touch with you shortly.

Authorship - Issues

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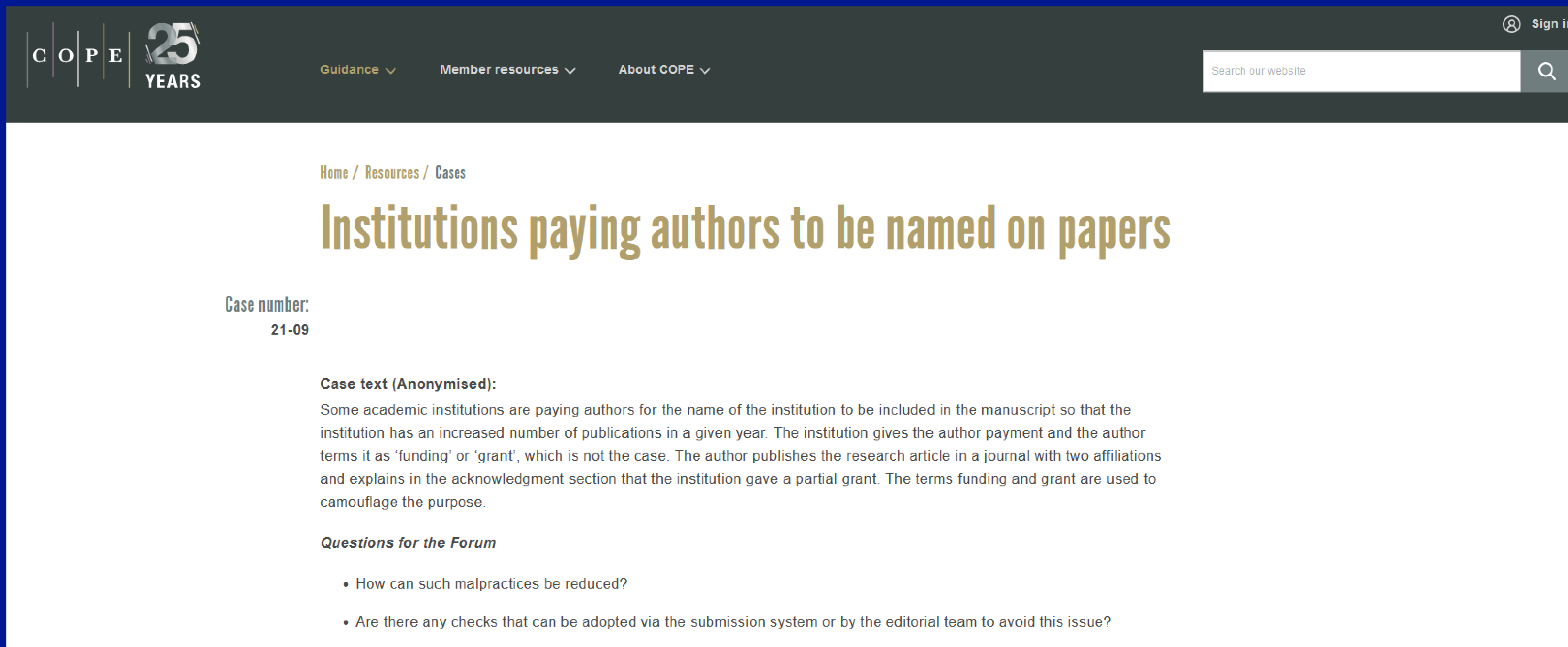
- Can check email communications for status by date



Authorship - Issues

Institutions paying authors to be added as affiliation

- **Case from COPE**



The screenshot shows the COPE website interface. At the top left is the COPE 25 YEARS logo. Navigation links include 'Guidance', 'Member resources', and 'About COPE'. A search bar is located at the top right. The main content area features a breadcrumb trail 'Home / Resources / Cases' and a large title 'Institutions paying authors to be named on papers'. Below the title, the case number '21-09' is displayed. The case text, which is anonymised, describes a situation where academic institutions pay authors for their names to be included in manuscripts, leading to two affiliations being listed. The text concludes that the terms 'funding' and 'grant' are used to camouflage the purpose. A section titled 'Questions for the Forum' lists two discussion points: 'How can such malpractices be reduced?' and 'Are there any checks that can be adopted via the submission system or by the editorial team to avoid this issue?'.

COPE 25 YEARS

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Home / Resources / Cases

Institutions paying authors to be named on papers

Case number:
21-09

Case text (Anonymised):

Some academic institutions are paying authors for the name of the institution to be included in the manuscript so that the institution has an increased number of publications in a given year. The institution gives the author payment and the author terms it as 'funding' or 'grant', which is not the case. The author publishes the research article in a journal with two affiliations and explains in the acknowledgment section that the institution gave a partial grant. The terms funding and grant are used to camouflage the purpose.

Questions for the Forum

- How can such malpractices be reduced?
- Are there any checks that can be adopted via the submission system or by the editorial team to avoid this issue?

Authorship - Issues

Institutions paying authors to be added as affiliation

- **Case from COPE**

Advice:

The Forum noted that this type of behaviour is relatively common, especially in South East Asia, often carried out to boost the ranking of the university. Multiple author affiliations to a university and a hospital or a research organisation, for example, are generally fine but the problem arises with dual author affiliations or multiple affiliations to universities, because of the potential issue of boosting productivity. If multiple institutional affiliations appear in the author byline, editors might want to ask authors to explain why and what contributions were made by the universities to the research. Editors could also pursue the primary university to determine if they are aware of the second university and if the second university was involved in the research.

Editors could also ask authors for proof of funding in the case of dual affiliations. If authors can produce a funding letter, editors should make sure it was issued at the time of the study and not after completion of study when the paper was submitted to a journal. Although letters can be forged, it might work as a form of deterrent. The Forum questioned if it is reasonable for journals to have a policy against funding agencies as affiliations?

A related issue is where authors might list one affiliation to an organisation that might be a working group, for example, that operates out of the institution, but the authors do not have any other formal relationship to the institution.

Recap

Authorship of scholarly journal articles

- **Useful resources for determining authorship**
 - ICMJE authorship criteria
 - CRediT roles
- **Inappropriate assignment and disputes**
 - Agree on authorship early in planning process
 - ICMJE and CRediT can inform appropriate assignment
- **Issues**
 - Artificial intelligence cannot be an author
 - Use of AI should be described
 - Authorship for sale
 - Awareness of paper mills will help you avoid
 - False claims on CVs
 - Knowledge of terms will help you communicate status appropriately

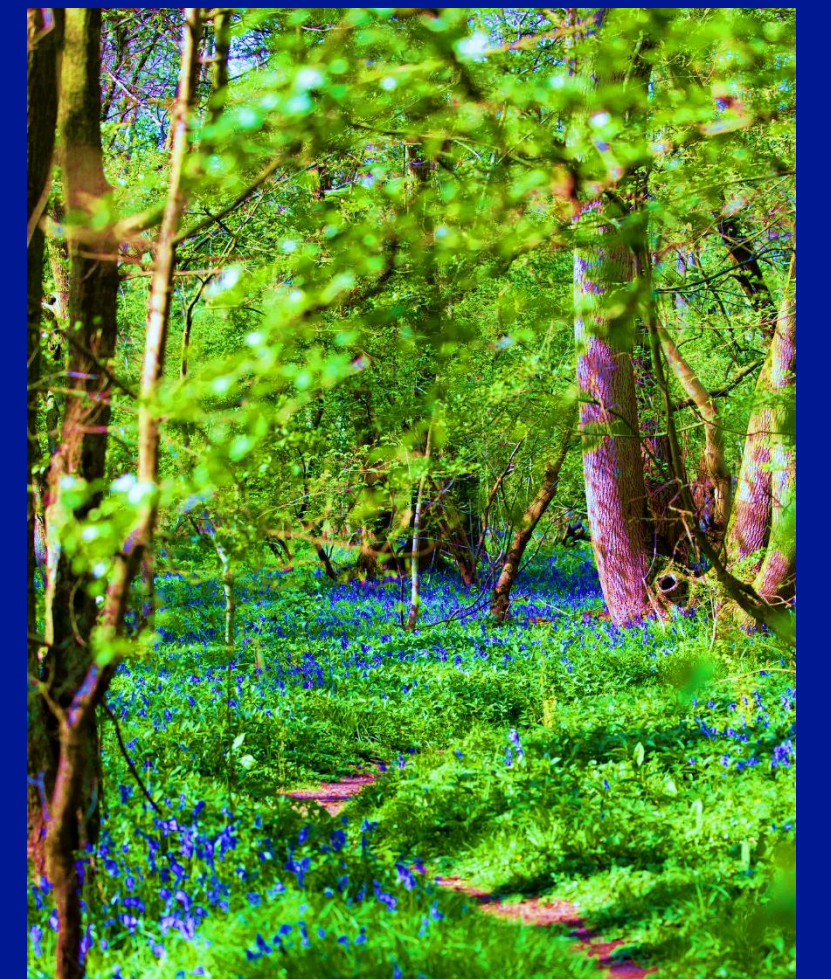


Image by [Key](#) from [Pixabay](#)



Image by [Hands off my tags! Michael Gaida](#) from [Pixabay](#)

Resources

ICMJE Author Criteria: <https://www.icmje.org/recommendations/browse/roles-and-responsibilities/defining-the-role-of-authors-and-contributors.html>

CRedit Roles: <https://credit.niso.org/contributor-roles-defined/>

COPE Authorship and Contributorship guidance:

<https://publicationethics.org/authorship>

Quaia E, Crimi F. Honorary Authorship: Is There Any Chance to Stop It? Analysis of the Literature and a Personal Opinion. *Tomography*. 2021; 7(4):801-803.

<https://doi.org/10.3390/tomography7040067>

Phillips T, Saunders RK, Cossman J, Heitman E. Assessing Trustworthiness in Research: A Pilot Study on CV Verification. *J Empir Res Hum Res Ethics*. 2019 Oct;14(4):353-364.

[doi: 10.1177/1556264619857843](https://doi.org/10.1177/1556264619857843). Epub 2019 Jul 10. PMID: 31291795; PMCID:

PMC7203623.

COPE & STM. Paper Mills — Research report from COPE & STM — English.

<https://doi.org/10.24318/jtbG8IHL> ©2022 Committee on Publication Ethics (CC BY-NC-ND

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Q&A

Acknowledgements:

- University of Florida George A. Smathers Libraries

This presentation is archived on the website of the Feed the Future Innovation Lab for Livestock Systems <https://livestocklab.ifas.ufl.edu>

Next webinar: 3 November 2023 (Friday)

Topic: BEING TRANSPARENT: PRINCIPLES OF TRANSPERANCY IN SCHOLARLY PUBLISHING

Time: 8:00 a.m. U.S. Eastern Standard Time (EST)

Connect by Zoom:

<https://ufl.zoom.us/j/95299256589?pwd=M2t0UDlkckFRYXg2Rm0zdzNZSzU4QT09>



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